Ryan Romans, MD

Ryan Romans is an interventional pediatric cardiologist at Children's Mercy Kansas City. He is also a member of the Cardiac High Acuity Monitoring Program (CHAMP) that cares for neonates and infants with single ventricle heart disease. Lastly, he is involved in the pre- and post-operative care of neonates in and infants undergoing cardiac surgery as a member of the cardiology consult team.



Patent Ductus Arteriosus: Transcatheter vs. Surgical Closure in 2022

Ryan Romans, MD, FSCAI Interventional Pediatric Cardiology Ward Family Heart Center Children's Mercy Kansas City



Disclosure Slide

• I have no pertinent financial relationships to disclose





Outline

- Anatomy and Physiology
- Clinical symptoms
- Indications for closure
- Transcatheter versus surgical closure
- Case example





Fetal Circulation







Patent Ductus Arteriosus

- Occurs in 2-8 per 1000 live births.
- More common in premature infants
 - 45% of infants < 1750 grams
 - 80% of infants < 1200 grams
- 30 times more common in those living at high altitude (> 4500m)

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Spontaneous closure

- Functional closure (24-72 hours)
 - Loss of PGE from placenta
 - Higher oxygen levels
- Anatomic closure (2-3 weeks)
 - Smooth muscle contraction leads to cell destruction and fibrosis
 - Ligamentum arteriosum





Left to Right Shunt Physiology

- Communication between systemic and pulmonary circulations
 - Intracardiac (ASD, VSD)
 - Extracardiac (PDA, AP window)
- Increased pulmonary blood flow
- Volume loaded heart
- Normal oxygen saturations
 - Pass CCHD screen





Clinical Symptoms in Neonates

• Heart murmur

- Heart failure symptoms
 - Tachypnea, tachycardia, pulmonary congestion, poor feeding, diaphoresis with feeds, poor weight gain
- Compromised systemic perfusion
 - IVH, NEC, renal dysfunction
- Hypoxia
 - Pulmonary edema
 - Pulmonary hypertension with right-to-left shunt





Indications for Closure

- Audible murmur
- Heart failure symptoms
- Left heart dilation
- Elevated RV and PA pressures without right-to-left shunting





PDA Closure in Premature Infants

- Balance of disease risk versus procedural risk
 - How much morbidity is attributable to PDA
- Timing
 - Prophylactic, early before symptoms, or late after symptoms
- Type of closure
 - Medical, transcatheter, or surgical





PDA Closure in Premature Infants

0 2015 BY THE AMERICAN COLLEGE OF CARDIOLOGY FOUNDATION





Hev. D	octor. Leave the	PDA Alone
William F. Benitz, M	10	
At a meeting of the Section of	outcome that has not been considered	
Medicine in October 1958. Dr Burnard ¹	in triais done to date.	
reported the first observation	The report in this issue, ³ from 2 institutions in Europe adds substantial	
that prematurity is associated	new information to this conversation.	
with persistence of the murmur of patent ductus arteriogus (PDA) and	With due respect to the limitations	
that the latter is associated with	imposed by the retrospective	
dyspnea. Reinforced by subsequent	observational study design, this is the first description of the natural	
confirmation, the concept developed	history of ductal closure in preterm	
that prolonged ductal patency in	infants from more than a single	
therefore requires treatment. The	center, the largest such series to date,	
hypothesis that left-to-right ductal	and the only series in which serial	
shunting associated with persistent	for all subjects for the duration of	
PDA is a direct cause of the various	hospitalization. In this cohort of 280	
in preterm infants with PDA has	very low birth weight (VLBW) infants	Division of Weonatal and Developmental Medicine,
become deeply ingrained in theory and	managed without interventions to	Department of Pediatrica, Stanford University School Medicine, Stanford, Galifornia
practice, despite absence of supporting	occurred before discharge in 237	Opinions expressed in these commentaries and
evidence. Randomized controlled trials	(85%). Rates of intraventricular	those of the author and not necessarily those
over 4 decades have demonstrated	hemorrhage, periventricular	American Academy of Pediatrics or its Commit
close the PDA in preterm infants fails	reukomalacia, bronchopulmonary dysplasia, and necrotizing enterocolitis	www.mtp5//doi.org/10.1542/peds.2017-0566
to ameliorate risk of those outcomes,	in the entire VLBW birth cohort	Multiples for publication Apr 20, 2017 Address correspondence to William E. Benity &
casting doubt on this hypothesis. ²	(368 infants) compared favorably	Division of Neonatal and Developmental Medici
Ine pervasive conviction that it is correct however, resulted in corre	to contemporaneous data from	Stanford University, 750 Welch Rd, Suite 315, Pi Atto CA 94304 E-mail: hepitrae@stanford.edu
label treatment of at least some	centers supporting the argument	PEDUIRCS (ISSN Numbers: Print, 0031-4005; D
control subjects in most treatment	that noninterventional management	1098-4275).
trials and in nearly all recent case	is, at a minimum, relatively safe,	Copyright © 2017 by the American Academy of
series of observational management. The multiple data therefore, cannot	and suggesting that development of	Pediatrics
entirely exclude the possibility that a	sequetae is not simply a function of the duration of left to right ductal	HINANUAL DISCLOSURE: Dr Benitz received honoraria for speaking on this topic at academ
select group of preterm infants with	shunting. These conclusions must	meetings.
PDA might benefit from medical,	be tempered by recognition that 17	FUNDING: Supported by the Philip Sunshine
surgical, or interventional catheter	(6%) of the 297 eligible infants were	Protessorship in reconatology of Stanford University.
closure of the PDA. In addition, available evidence only demonstrator	treated to close the PDA, so this study	POTENTIAL CONFLICT OF INTEREST: The author
absence of benefit with respect to	of truly universal nonintervention.	indicated he has no potential conflicts of intere
outcomes that have been measured,	The criteria for treatment in those	ur warnet.

cases are not stated, so this report

also cannot guide selection of infants who might benefit from treatment.

which there was no appar

The results reported by Sung et al.4 in

montality or morbidity in 97 infante

and cannot exclude other notential

benefits, such as reduction in the rate or severity of pulmonary hypertension

associated with bronchopulmonary

s stenosis or some other

dysplasia, avoidance of late pulmonary

Downloaded from www.aa PEDATRES Volume 140 number 2 Audust 2017 r0017050



Universal Prophylactic

LOVE WILL.

Selective or Case-by-case

blood flow, echocardiography, hemodynamics

KEYWORDS

Rarely, if ever, Intervene



length of hospitalization; outcomes at 1 year; or duration of

CMH Typical Treatment Algorithm

- Is a moderate (or larger) PDA present?
- Is the PDA causing symptoms?
- Are the symptoms medically manageable?
- Contraindications to medical therapy?
- Contraindications to interventional therapy?
- Which intervention do you want?





Transcatheter versus Surgical Closure

- Surgical Ligation
 - Post PDA ligation syndrome
 - Vocal cord paralysis
 - Scoliosis
 - LPA ligation
- Transcatheter device closure
 - Not a great option on HFOV
 - Risk of aortic and LPA obstruction
 - Case reports of vocal cord paralysis
 - Requires transport to cardiac catheterization lab (most centers)





Transcatheter versus Surgical Closure

Journal of the American Heart Association

ORIGINAL RESEARCH

Trend and Outcomes for Surgical Versus Transcatheter Patent Ductus Arteriosus Closure in Neonates and Infants at US Children's Hospitals

Michael T. Kuntz ⁽⁰⁾, MD; Steven J. Staffa ⁽⁰⁾, MS; Dionne Graham, PhD; David Faraoni, MD, PhD; Philip Levy, MD; James DiNardo, MD; Nicola Maschietto ⁽⁰⁾, MD, PhD; Viviane G. Nasr ⁽⁰⁾, MD, MPH

BACKGROUND: Pharmacologic therapy for patent ductus arteriosus closure is not consistently successful. Surgical ligation (SL) or transcatheter closure (TC) may be needed. Large multicenter analyses comparing outcomes and resource use between SL and TC are lacking. We hypothesized that patients undergoing TC have improved outcomes compared with SL, including mortality, hospital and intensive care unit length of stay, and mechanical ventilation.

METHODS AND RESULTS: Using the 2016 to 2020 Pediatric Health Information System database, characteristics, outcomes, and charges of patients aged <1 year who underwent TC or SL were analyzed. A total of 678 inpatients undergoing TC (n=503) or SL (n=175) were identified. Surgical patients were younger (0.1 versus 0.53 years; *P*<0.001) and more premature (60% versus 20.3%; *P*<0.001). Surgical patients had higher mortality (1.7% versus 0%; *P*=0.02). Using inverse probability of treatment weighting by the propensity score, multivariable-adjusted analyses demonstrated favorable outcomes in TC: intensive care unit admission rates (adjusted odds ratio [OR], 0.2; 95% Cl, 0.11–0.32; *P*<0.001); mechanical ventilation rates (adjusted OR, 0.3; 95% Cl, 0.19–0.56; *P*<0.001); and shorter hospital (adjusted coefficient, 2 days shorter; 95% Cl, 1.3–2.7; *P*<0.001) and postoperative (adjusted coefficient, 1.2 days shorter; 95% Cl, 0.1–2.3; *P*=0.039 stays. Overall charges and readmission rates were similar. Among premature neonates and infants, hospital (adjusted difference in medians, 4 days; 95% Cl, 1.7–6.3 days; *P*<0.001) and postoperative stays (adjusted difference in medians, 3 days; 95% Cl, 1.1–4.9 days; *P*=0.002) were longer for SL.

CONCLUSIONS: TC is associated with lower mortality and reduced length of stay compared with SL. Rates of TC continue to increase compared with SL.

Key Words: cardiac catheterization = cardiovascular surgical procedure = cost = outcomes = patent ductus arteriosus

Surgical Versus Percutaneous Closure of PDA in Preterm Infants: Procedural Charges and Outcomes

Hannah S. Kim, MD,^a Matthew A. Schechter, MD,^b Peter B. Manning, MD,^b Pirooz Eghtesady, MD, PhD,^b David T. Balzer, MD,^a Shabana Shahanavaz, MD,^a Toby A. Rockefeller, MD,^a and Aaron M. Abarbanell, MD, MSCR^{b,*}

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ARTICLE INFO

Artide history: Received 20 December 2018 Received in revised form 14 March 2019 Accepted 25 April 2019 Available online 30 May 2019

Keywords: Patent ductus arteriosus PDA Surgical ligation Device closure Preterm infants Procedural charges

ABSTRACT

Background: Studies comparing percutaneous closure of patent ductus arteriosus (PDA) with surgical ligation tend to exclude premature infants and have not assessed procedural charges. We compared our contemporary outcomes and charges of device closure to surgical ligation of PDA in preterm infants.

Material and methods: Preterm infants who underwent isolated PDA closure during their newborn hospitalization (anuary 2014 to September 2017) were grouped based on intention to treat (surgery versus device closure). Patient demographics, procedural details, and immediate postprocedural outcomes were compared. Procedural charges for device closure versus surgical ligation were compared.

Results: Compared with the device group (n = 33), patients undergoing surgical ligation (n = 39) were younger, smaller, and required more preoperative support (P < 0.05). The procedure time was shorter for surgical ligation (P < 0.01). Although there was no procedural mortality in either group, the complication rate was higher for device closure than for surgical ligation (15.2% versus 0%; P = 0.02). The proportion of patients returning to preprocedural respiratory support by 48 h after procedure was similar. There was a higher proportion of surgical patients who required increased inotropic support in the first 24 h after procedure (P = 0.19). The procedural charges for transcatheter device closure were twice as expensive as those for surgical ligation.

Conclusions: In our early experience with percutaneous PDA closure, we found a percutaneous approach in preterm infants feasible and well tolerated. Both surgical ligation and device closure were associated with perioperative or postoperative complications. Procedural charges were higher for percutaneous closure, driven by device charge and catheterization room utilization. Further investigation is needed to establish guidelines for firstline therapy for PDA closure in preterm infants, including cost-benefit analysis.

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Surgical PDA Ligation

- First successful ligation by Gross in 1939
- Left posterolateral thoracotomy
- Left lung must be down for some length of time
- Locate PDA and place surgical clip on PDA





Transcather PDA Device Closure

- Portsman first to close PDA via cardiac catheterization in 1967
- Significant amount of R&D over the next several decades developing coils and devices
- AMPLATZER duct occluder FDA approved in 2003
 - Still currently in use

LOVE WILL.

• Off-label in patients < 6 months old





Trascatheter Device Closure in Premature Infants

Catheterization and Cardiovascular Interventions 85:240-248 (2015)

A Novel Technique for Transcatheter Patent Ductus Arteriosus Closure in Extremely Preterm Infants Using Commercially Available Technology

Evan M. Zahn,^{1,3*} мв, Phillip Nevin,³ вм, Charles Simmons,² мв, and Ruchira Garg,^{1,3} мв



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Objectives: To describe a new technique for transcatheter patent ductus arteriosus (PDA) closure in extremely preterm infants using commercially available technology. Background: PDA in premature neonates continues to be a significant clinical problem contributing importantly to both morbidity and mortality. Surgical ligation and medical therapy both have their drawbacks. Material and Methods: Hospital records and catheterization reports of all premature neonates (< 32 weeks gestation) who underwent transcatheter PDA closure between March 2013 and February 2014 were reviewed. Particular attention was paid to procedural details, complications, and short and mid-term outcomes. Results: Six premature infants born at gestational ages ranging between 26 and 31 weeks (median, 26 weeks) underwent attempted transcatheter PDA closure using the Amplatzer Vascular Plug II (AVP II). Median age and weight was 21.5 days (16-80 days) and 1,180 g (870-2,240 g), respectively. Fluoroscopy and echocardiography were used to quide device. Contrast angiography was not used in any patient. Complete closure was achieved in all patients with no major procedural complications. Median fluoroscopy and procedural times were 9.4 (0-19.5) and 51.5 (33-87) min. respectively. All patients were alive at the time of this report. There were no instances of device migration, left pulmonary artery (LPA), or aortic coarctation. Conclusions: This preliminary study demonstrates that transcatheter PDA closure can be successfully performed in extremely preterm neonates using currently available technology with a high success rate and a low incidence of complications. This report also describes a novel transvenous approach using a combination of echocardiography and judicious use of fluoroscopy to avoid arterial access in this fragile patient population. © 2014 Wiley Periodicals, Inc.

Key words: congenital heart disease; vascular occlusion

PDA closure in 6 preterm infants (median weight 1.1 kg) with Amplatzer Vascular Plug II

Catheterization and Cardiovascular Interventions 89:1051-1058 (2017) Initial Clinical Experience with the Medtronic Micro Vascular Plug[™] in Transcatheter Occlusion of PDAs in Extremely Premature Infants Shyam Sathanandam,^{1*} MD, Henri Justino,² MD, B. Rush Waller, III,¹ MD, Wolfgang Radtke,³ Mp. and Athar M. Qureshi,² Mp. Objectives: To describe the early multicenter, clinical experience with the Medtronic MVP™ Micro Micro Vascular PlugTM (MVP) for the occlusion of patent ductus arteriosus (PDA) Vascular Plug Device in premature infants. Background: The MVP is a large diameter plug that can be delivered through a microcatheter for occlusion of abnormal blood vessels. Methods: A Retrospective review of PDA embolization procedures performed in two centers using Proximal Marker the MVP was performed. Results: Fifteen premature infants underwent attempted PDA occlusion using the MVP. The gestational age and birth weight were 25.6 ± 2.5 weeks and 735 ± 251 g, respectively. The median weight and age at the time of the procedure were

735 ± 251 g, respectively. The median weight and age at the time of the procedure were 1,210 g (700–3,500 g) and 4.5 weeks (2–12 weeks), respectively. Median procedure and fluoroscopy times were 45 and 6.5 min, respectively. The median radiation and contrast doese were 19.7 mGy and 2.4 mL/kg, respectively. Antegrade occlusion was successfully achieved in 13 patients <2 kg with only femoral venous access aided by echo guidance. The two patients >2 kg had arterial access and attempted retrograde occlusion; one of which was unsuccessful due to the PDA being short and wide. Complete closure was observed in 13 of 14 successful procedures (93%), with one patient having a small residual shunt that was not seen on follow-up. There were no complications related to the procedure or noted during follow-up (Median 11 months). <u>Conclusions</u>: The MVP is a new, large-diameter vascular embolization device that may be useful for the occlusion of PDA in extremely small, premature infants. <u>C2016 Wiley Periodicals</u>, Inc.



Key words: patent ductus arteriosus; Micro Vascular Plug[™]; premature

PDA closure in 15 preterm infants (median weight 1.2 kg) with Medtronic Microvascular Plug



Amplatzer Piccolo™ Occluder Device Trial

- Non-randomized prospective trial at 9 US centers in premature infants ≥700 grams
- Primary endpoint-PDA closure at 6 months
- Secondary endpoints-LPA and aortic obstruction
- PDA \leq 4 mm in diameter, \geq 3 mm length

LOVE WILL.

• Placed through a 4 French delivery system





Amplatzer Piccolo™ Occluder Device Trial

 Received: 6 February 2020
 Revised: 23 April 2020
 Accepted: 4 May 2020

 DOI: 10.1002/ccd.28973
 Interview
 Interview
 Interview

ORIGINAL STUDIES

WILEY

Amplatzer Piccolo Occluder clinical trial for percutaneous closure of the patent ductus arteriosus in patients ≥700 grams

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¹LeBohnur Children'i Horgital, University of Tennessee, Mempilis, Tennessee ²Abbott Shuchtral Heart, Sarta Clara, California ²Children's Hospital of Michigan Detroit, Michigan ⁴Children's Hospital of Philadelphia, Philadelphia, Pannyol varia ⁴Nationavide Children's Hospital, ⁴Security, Children's Hospital, ⁴Security, Children's Hospital, Seattle, WA ⁴Prease Children's Hospital, Chinda, Teneda ⁴Children's Shoal Medical Center, Los Angeles, California

Correspondence Shyam Sathanandam, LeBonheur Children's Hospital, 848 Adams Avenue, Memphis, TN 38103. Email: shyam@uthsc.edu Abstract Objectives: Characterize the safety and effectiveness of the Amplatzer Piccolo Occluder for patent ductus arteriosus (PDA) closure. Background: The presence of a hemodynamically significant PDA has been associated with an increased risk of morbidity and mortality in children born premature. Methods: This was a single arm, prospective, multicenter, non-randomized study to evaluate the Amplatzer Piccolo Occluder to treat PDA in patients ≥700 g. From June 2017 to February 2019, 200 patients were enrolled at nine centers, with 100 patients weighing ≤2 kg. Primary effectiveness endpoint was the rate of PDA closure at 6-month follow-up. Primary safety endpoint was the rate of major complications through 6 months. Secondary endpoint was rate of significant nulmonary or aortic obstruction through 6 months' follow-up. Results: The implant success rate was 95.5% (191/200) overall and 99% in patients <2 kg (99/100). The primary effectiveness endpoint was achieved in 99.4% of implanted patients. Four patients experienced a primary safety endpoint event (2 transfusions, 1 hemolysis, and 1 aortic obstruction). There were no branch pulmonary artery obstructions. Five patients, all ≤2 kg, were noted to have worsening of tricuspid regurgitation (TR) after the procedure. None of the TR incidences manifested clinically. The Amplatzer Piccolo Occluder received FDA approval in January 2019 and became the first device approved for PDA closure in patients >700 e. Conclusions: This study supports the safety and effectiveness of the Amplatzer Piccolo Occluder, particularly in patients between 700 g and 2 kg where there is currently a significant unmet need in the United States. ClinicalTrials.gov identifier: NCT03055858.

KEYWORDS

ADO II AS, Amplatzer Piccolo Occluder, FDA, patent ductus arteriosus, prematurity, transcatheter closure

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1266 wileyonlinelibrary.com/journal/ccd

Catheter Cardiovasc Interv. 2020;96:1266-1276.

$\leq 2 \text{ kg } (N = 10^{-1} \text{ kg})$ Implant success (%)99 (99.0%)Intra-procedural device embolization rate (%)2 (2.0%)Post-procedure device migration rate (%) ^a 1/99 (1.01)Rate of effective closure at 6 months (%) ^b 89/89 (100)Post- of major complications through 120 days (%)4/06 (4.29)	100) >2 kg (N = 100) 92 (92.0%) 3 (3.0%) %) 1/92 (1.09%)).0%) 83/84 (98.8%)	Total (N = 200) 191 (95.5%) 5 (2.5%) 2/191 (1.05%) 172/173 (99.4%)
Implant success (%)99 (99.0%)Intra-procedural device embolization rate (%)2 (2.0%)Post-procedure device migration rate (%) ^a 1/99 (1.01)Rate of effective closure at 6 months (%) ^b 89/89 (100)Date of major complications through 180 days (%)4/06 (4.29)	92 (92.0%) 3 (3.0%) %) 1/92 (1.09%) 0.0%) 83/84 (98.8%)	191 (95.5%) 5 (2.5%) 2/191 (1.05%) 172/173 (99.4%)
Intra-procedural device embolization rate (%)2 (2.0%)Post-procedure device migration rate (%) ^a 1/99 (1.01)Rate of effective closure at 6 months (%) ^b 89/89 (100)Data of meior complications through 180 days (%)4/06 (4.2%)	3 (3.0%) %) 1/92 (1.09%) 0.0%) 83/84 (98.8%)	5 (2.5%) 2/191 (1.05%) 172/173 (99.4%)
Post-procedure device migration rate (%) ^a 1/99 (1.01) Rate of effective closure at 6 months (%) ^b 89/89 (100) Date of major complications through 180 days (%) 4/06 (4.29)	%) 1/92 (1.09%) 0.0%) 83/84 (98.8%)	2/191 (1.05%) 172/173 (99.4%)
Rate of effective closure at 6 months (%) ^b 89/89 (100 Data of major complications through 180 days (%) 4/06 (4.29)	0.0%) 83/84 (98.8%)	172/173 (99.4%)
Data of major complications through $100 \text{ days}(0)$ $1/0(1/20)$		
Rate of major complications through 180 days (%) 4/96 (4.2%)	5) 0/98 (0%)	4/194 (2.1%)
Rate of clinically significant obstruction of the LPA2/99 (2.02)or aorta through 6-months (%) ^a	%) 0/92 (0.0%)	2/191 (1.05%)
Rate of tricuspid valve regurgitation (%) 5 (5.0%)	0 (0.0%)	5 (2.5%)

Received FDA approval in January 2019





Case Example

- 29 weeks EGA, birth weight 1.45 kg
- Poor respiratory effort, sats in the 50's on NIV
- Intubated at 4 min of life
- Surfactant x3
- HFOV for 14 days then switched to CMV
- Tylenol x 2 without significant change in large PDA
- Taken to cath lab on DOL 18























Case Outcome

- Extubated in 5 days
- Discharged home in 2 months on ¼ L O2
- PO ad lib 22 kcal/oz
- Follow-up ECHOs
 - No residual PDA
 - No LPA obstruction
 - No arch obstruction









- There are multiple options (medical, transcatheter, and surgical) to treat PDAs in premature infants
- The Piccolo is an FDA approved device designed for PDA closure in ELBW premature infants with extremely favorable outcomes
 - Not good for infants on HFOV, active infections, unstable infants who can't be transferred to a center performing this procedure, and infants with LPA stenosis/aortic coarctation
- Working with industry is not bad-there are companies committed to improving CHD treatment





Special Thank You

- Dr. Toby Rockefeller
 - Starting our Piccolo PDA closure program in ELBW premature infants
 - Assisting with the preparation of this presentation





Questions?



